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PTO/SB/05 (12/97) Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

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(Only for new nonprovisional applications under 37 CFR

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|--|------------------|-------------|----|-------------|--|--|--|
| Docket No.                                     | COMP:0213        | Total Pages | 63 |             |  |  |  |
| Circt Name                                     | <u> </u>         | "           | ļ  | <u>ဥ</u>    |  |  |  |
| First Named Inventor or Application Identifier |                  |             |    |             |  |  |  |
| · · · · · · · · · · · · · · · · · · ·          | Kevin B. Leigh e | et al.      |    | <u>ن</u> ري |  |  |  |

Express Mail Label No. EL652336063US

| 1  |             | APPLICATION ELEMENTS   | T          |                | Assistant Commissioner for Patents  |
|--|-------------|--|------------|----------------|---|
| See .  | MPEP cf     | chapter 600 concerning utility patent application contents.  | ADDI       | RESS           |   |
| 1.   | $\boxtimes$ | Fee Transmittal Form   | 6.         |                | Microfiche Computer Program (Appendix)  |
| 2.   |             | (Submit an original, and a duplicate for fee processing) Specification Total Pages 34 (preferred arrangement set forth below) -Descriptive   | 7.         |                | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)   |
|  |             | -Cross References to Related Application -Statement Regarding Fed sponsored R & D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) |            | a.<br>b.<br>c. | <ul> <li>☐ Computer Readable Copy</li> <li>☐ Paper Copy (identical to computer copy)</li> <li>☐ Statement verifying identity of above copies</li> </ul> |
|  |             | -Detailed Description -Claim(s) -Abstract of the Disclosure  |            |                |   |
|  |             |  |            | <del></del>    | ACCOMPANYING APPLICATION PARTS  |
| 3.   | $\boxtimes$ | Drawing(s) (35 USC 113) Total Sheets 6   | 8. (       |                | Assignment Papers (cover sheet & document(s))   |
|  |             | Total Pages 18   | 9.         | $\boxtimes$    | 37 CFR 3.73(b) Statement ⊠ Power of Attorney  |
| 4.   | a.          | or Declaration  Newly executed (original or copy)  | 10.<br>11. |                | (where there is an assignee) English Translation Document (if applicable) Information Disclosure  |
|  | b.          | Copy from a prior application (37CFR 1.63(d))  (for continuation/divisional with Box 17 completed)  [Note Box 5 below]   | ,          |                | Statement (IDS)/PTO-1449 Citations  |
|  |             | i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application,   | 12.        |                | Preliminary Amendment   |
| 5.   |             | see 37 CFR 1.63(d)(2) and 1.33(b).  Incorporation By Reference (useable if Box 4b is checked)  The entire disclosure of the prior application, from which  | 13.<br>14. |                | Return Receipt Postcard (MPEP 503)  Small Entity Statement filed in prior application  Statement(s) Status still proper and desired                     |
|  |             | a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclousre of the accompanying application and is hereby incorporated by reference therein.                                     | ,          |                |   |
|  |             | reference triefers.  | 15.        |                | Certified Copy of Priority Document(s)  |
|  |             |  | 16.        | $\boxtimes$    | (if foreign priority is claimed) Other PTO-2038   |
| 17   | c           | Continuation Divisional  |            |                | lation-in-part (CIP) of prior application No:/  |
| <u> </u>   |             | 18. CORRESP  | ONDEN      | ICE I          | INDEEC  |
|  | Custo       | omer Number or Bar Code  | OIADE      | <u> </u>       | Correspondence address below  |
|  | Label       |  |            |                | <u> </u>  |
|  |             | (Insert Customer No. or A  | Attach ba  | r code         | label here)   |
| NAME Diana M. Sangalli                                 |             |  |            |                |   |
| Fletcher, Yoder & Van Someren  ADDRESS P.O. Boy 692289 |             |  |            |                |   |
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| CITY   |             | Houston STATE  | Texas      |                | ZIP CODE   77269-2289   |
| COUN   | NTRY        | USA TELEPHONE  | (281)      |                |   |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (10/96)
Approved for use through 09/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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|                                      | Complete if Known      |                       |  |  |  |  |  |
|--------------------------------------|------------------------|-----------------------|--|--|--|--|--|
|                                      | Application Number     | Unassigned            |  |  |  |  |  |
| FEE TRANSMITTAL                      | Filing Date            | Herewith              |  |  |  |  |  |
|                                      | First Named Inventor   | Kevin B. Leigh et al. |  |  |  |  |  |
|                                      | Group Art Unit         |                       |  |  |  |  |  |
|                                      | Examiner Name          |                       |  |  |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 1726.00 | Attorney Docket Number | COMP:0213/FLE         |  |  |  |  |  |
|                                      |                        |                       |  |  |  |  |  |

| METHOD OF PAYMENT (check one)   |             |                              |             |   | FEE CALCULATION (continued) |                            |  |  |          |  |   |                   |
|---|-------------|------------------------------|-------------|---|-----------------------------|----------------------------|--|--|----------|--|---|-------------------|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: |             |                              |             |   | 3. ADDITIONAL FEES          |                            |  |  |          |  |   |                   |
| Deposit 06-1315/COMP:0213/FLE   |             |                              |             |   | Large<br>Fee                | Entity<br>Fee              | Small<br>Fee   | Entity<br>Fee                                | •        |  |   |                   |
| Account   |             |                              |             | Code  | (\$)                        | Code                       | (\$)   | Fee Description                              | Fee Paid |  |   |                   |
| Number  |             |                              |             | 105   | 130                         | 205                        | 65   | Surcharge - late filing fee or oath          | _        |  |   |                   |
| Depos   |             | Fletcher, Y                  | oder & \    | /an Somer                                       | en                          |                            | 127  | 50   | 227      | 25   | Surcharge - late provisional filing or cover sheet.         |                   |
| Name  |             |                              |             |   |                             |                            | 139  | 130  | 139      | 130  | Non-English specification                                   | _                 |
|   |             | Any Additional               |             |   |                             | ee Set in 37               | 147  | 2,520  | 147      | 2,520  | For filing a request for<br>reexamination                   | _                 |
|   |             | uired Under 37<br>6 and 1.17 | 1           |   |                             | ailing of the<br>e, 37 CFR | 112  | 920  | 112      | 920  | Requesting publication of SIR prior to Examiner action      |                   |
| 2.  | $\boxtimes$ | Payment E                    | nclosed     | <del> </del>                                    |                             |                            | 113  | 1,840  | 113      | 1,840  | Requesting publication of SIR after Examiner action         |                   |
|   |             | Check                        | ☐ Mon       | ey Order  |                             |                            | 115  | 110  | 215      | 55   | Extension for response within first month                   | _                 |
|   |             |                              |             |   | PTO-20                      | )38                        | 116  | 400  | 216      | 200  | Extension for response within second month                  | _                 |
|   | FEE         | CALCUL                       | ATION (1    | fees effecti                                    | ve 10/0                     | 1/96)                      | 117  | 950  | 217      | 475  | Extension for response within third month                   |                   |
| 1.  | FILII       | NG FEE                       |             |   |                             |                            | 118  | 1,570  | 218      | 755  | Extension for response within fourth month                  |                   |
| Large l   | Entity      | Small                        | Entity      |   |                             |                            | 119  | 310  | 219      | 155  | Notice of Appeal  | _                 |
| Fee<br>Code   | Fee<br>(\$) | Fee<br>Code                  | Fee<br>(\$) | Fee Descri                                      | otion                       | Fee Paid                   | 120  | 310  | 220      | 155  | Filing a brief in support of an appeal                      | _                 |
| 101   | 710         | 201                          | 355         | Utility filing f                                | ee                          | <u>710.00</u>              | 121  | 270  | 221      | 135  | Request for oral hearing                                    |                   |
| 106   | 330<br>540  | 206<br>207                   | 165         | Design filing                                   |                             | _                          | 138  | 1,510  | 138      | 1,510  | Petition to institute a public use proceeding               | _                 |
| 107<br>108  | 790         | 208                          | 270<br>395  | Plant filing fi<br>Reissue filin                |                             | _                          | 140  | 110  | 240      | 55   | Petition to revive unavoidably<br>abandoned application     |                   |
| 114   | _           |                              | 141         | 1,320   | 241                         | 660                        | Petition to revive unintentionally abandoned application | _  |          |  |   |                   |
|   |             |                              | S           | SUBTOTAL (1                                     | )                           | (\$) <u>710.00</u>         | 142  | 1,320  | 242      | 660  | Utility issue fee (or reissue)                              | _                 |
|   |             |                              |             |   |                             |                            | 143  | 450  | 243      | 225  | Design issue fee  |                   |
| 2.  | CLA         | IMS                          |             |   |                             |                            | 144  | 670  | 244      | 335  | Plant issue fee   | ******            |
|   |             |                              | Extra       | Fee from<br>below                               |                             | Fee Paid                   | 122  | 130  | 122      | 130  | Petitions to the Commissioner                               |                   |
| Total C   | laims §     | <u>55</u> -20 =              | 32          | X <u>18</u>                                     | =                           | <u>576.00</u>              | 123  | 50   | 123      | 50   | Petitions related to provisional applications               | _                 |
| Independent $\underline{8}$ - 3 = $\underline{5}$ X $\underline{80}$ Claims                     |             | =                            | 400.00      | 126   | 240                         | 126                        | 240  | Submission of Information<br>Disclosure Stmt |          |  |   |                   |
| <br>  Multiple  | Depe        | ndent Claims                 | <u>o</u>    | х <u>о</u>                                      | =                           | <u>o</u>                   | 581  | 40   | 581      | 40   | Recording each patent                                       | <u>40 00</u>      |
| Large I   | Entity      |                              | Entity      | _   |                             | ₹                          |  |  |          |  | assignment per property (times number of properties)        |                   |
| Fee<br>Code   | Fee<br>(\$) | Fee<br>Code                  | Fee<br>(\$) | Fee Descri                                      | otion                       |                            | 146  | 790  | 246      | 395  | Filing a submission after final rejection (37 CFR 1.129(a)) | _                 |
| 103<br>102  | 18<br>80    | 203<br>202                   | 9<br>40     | Claims in excess of 20<br>Independent claims in |                             | 149                        | 790  | 249  | 395      | For each additional invention to be examined | _   |                   |
| excess of 3   |             | Othe                         | rfoc (a-    | ooif A  |                             | (37 CFR 1.129(b))          |  |  |          |  |   |                   |
| 104   | 270         | 204                          | 135         | Multiple dep                                    |                             | aim                        |  | er fee (sp<br>er fee (sp                     |          | _  |   |                   |
| 109   | 82          | 209                          | 41          | Reissue indej<br>claims over o                  |                             | nt                         |  |  | •        |  | SUBTOTAL (3)  | (\$) <u>40.00</u> |
| 110 22 210 11 Reissue claims in excess of of 20 and over original patent                        |             |                              |             | * Reduced by Basic Filing Fee Paid              |                             |                            |  |  |          |  |   |                   |
|   |             |                              | SUBTO       | OTAL (2)  |                             | (\$) <u>976.00</u>         | l  |  |          |  |   |                   |

| SUBMITTED BY          | Complete (if applicable) |             |             |                            |  |
|-----------------------|--------------------------|-------------|-------------|----------------------------|--|
| Typed or Printed Name | Diana M. Sangalli        | Reg. Number |             | 40,798                     |  |
| Signature             | Wander                   | Date        | June 1, 200 | 1 Deposit Acct. User<br>ID |  |
|                       |                          |             |             |                            |  |